

AF/2818/  
JFW

DOCKET NO.: 4858

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE MATTER OF THE APPLICATION FOR PATENT

OF: Kazuhiro FUJIKAWA et al.

| ART UNIT: 2818

SERIAL NO.: 10/528,440

| CONF. NO.: 4308

FILED: March 18, 2005

| EXAMINER: T. P. LE

FOR: METHOD OF FABRICATING SEMICONDUCTOR DEVICE

MS AF  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

May 31, 2007

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATE OF MAILING

Dear Sir:

1) Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 applicants enclose a Second Form PTO-1449, a copy and an English abstract of reference AG cited thereon, and a copy as well as an English translation of a Chinese Office Action issued on April 6, 2007 in a counterpart Chinese application.

2) In accordance with 37 C.F.R. 1.97(d), this IDS is being filed before payment of the issue fee, includes the required statement under 37 C.F.R. 1.97(e)(1) (see next paragraph), and is accompanied by a Credit Card Payment Form (PTO-2038) to cover the required fee of \$180.00

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- 3) The undersigned attorney hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 4) Reference AG is accompanied by an English Abstract. Therefore no further discussion of the reference is necessary.
- 5) Moreover, the enclosed English translation of the Chinese Office Action shows why the Chinese Examiner found reference AG to be relevant to the counterpart Chinese patent application. The Chinese Examiner's statements are not ratified as accurate or adopted by applicant, but they are submitted for the US Examiner's consideration as to the possible relevance of the reference.
- 6) The Examiner is requested to consider all references of record, return an initialed copy of the enclosed Form PTO-1449, and ensure that all references of record are printed on any patent issuing from this application.

- 7) A Response to the Final Office Action of April 30, 2007 will be filed in due course.

Respectfully submitted,  
Kazuhiro FUJIKAWA et al.  
Applicant

WFF:ks/4858  
Enclosures:  
postcard,  
Second Form PTO-1449,  
1 reference,  
1 English abstract,  
Form PTO-2038,  
copy and English translation  
of Chinese Office Action

By Walter F. Fasse  
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CERTIFICATE OF MAILING:

I hereby certify that this correspondence with all indicated enclosures is being deposited with the U. S. Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Karin Smith - May 31, 2007  
Name: Karin Smith - Date: May 31, 2007

**Sheet 1 of 1  
SECOND IDS LIST OF  
REFERENCES CITED BY  
APPLICANT  
(FORM PTO-1449)**

**DATED:** May 31, 2007

**Atty. Docket No.:**  
4858

**Serial No.:**  
10/528,440

**Inventor(s):** Kazuhiro FUJIKAWA et al.

**U.S. Filing Date:**  
March 18, 2005

**Art Unit:**  
2818

**U. S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	Fil. Date

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	Cl.	Sub- Cl.	English
	AG	56-013722	02/1981	Japan	-	-	Abstract

**OTHER DOCUMENTS**

EXAMINER'S SIGNATURE	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

